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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
13521(ARC920000067US1)

In Re Application Of: Emanuel I. Cooper et al.

Serial No.
09/634,171

Filing Date
August 9, 2000

Examiner

Sheehan

Group Art Unit

1742

Title: COFE ALLOY FILM AND PROCESS OF MAKING SAME



Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

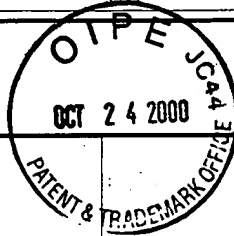
OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0441/IBM as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on _____ (Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on 10/17/00 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Mishelle Mustafa
Typed or Printed Name of Person Mailing Correspondence

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Signature

Dated: October 17, 2000

Marvin Bressler
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CC:



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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Emanuel I. Cooper et al.

Examiner: ~~Unassigned~~ *Sheehan*

Serial No: 09/634,171

Art Unit: ~~Unassigned~~ *1742*

Filed: August 9, 2000

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For: COFE ALLOY FILM AND PROCESS
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Dated: October 17, 2000

Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

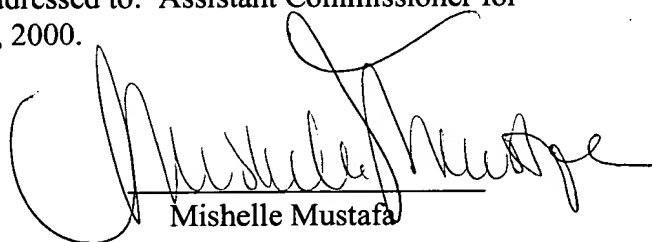
Applicants, in order to meet their duty of disclosure, set forth at 37 C.F.R.

§1.56, submit those references which they deem pertinent to the claims of the present application.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on October 17, 2000.

Dated: October 17, 2000


Mishelle Mustafa



The relevant references are U.S. Patent Nos. 2,654,703; 4,014,759; 4,053,373; 4,208,254; 4,306,908; 4,756,816; 4,925,502; 4,933,026; 5,372,698; 5,582,927; 5,587,026; and Japanese Abstract Nos. JP 6036929A2; JP 4229607A2 and JP 2107737A2; Chen et al., J. App. Phys., 87, No. 9, 5858-5860(2000); Rehim et al., Trans IMF, 78, No. 1, 41-43(2000); Kakuno et al., J. Electrochem. Soc., 144, No. 9, 3222-3226(1997); Herreros et al., J. Non-Crystalline Solids, 201, 102-109(1996); Arcos et al., Electrochemical Soc. Proc., 95, No. 18, 562-569; Liu et al., J. Appl. Phys., 87, No. 9, 5410-5412(2000).

Applicants desire that the aforementioned references be made of record in the file of the present application. To that end applicants enclose copies of the aforementioned references and include them in attached completed PTO Form-1449. Applicants request that the Examiner initial the references on completed PTO Form-1449 and make them of record in the file of the present application.

The instant Information Disclosure Statement is filed prior to the issuance of a first Official Action on the merits. As such, the instant Information Disclosure Statement is filed under 37 C.F.R. §1.97(b)(3). An Information Disclosure Statement filed under this rule need be accompanied by neither certification nor fee.

Respectfully submitted,

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MB:ml